

Presynaptic Control of Rapid Estrogen Fluctuations in the Songbird Auditory Forebrain

Luke Ramage-Healey,^{1,3} Stephanie Dong,¹ Nigel T. Maidment,² and Barney A. Schlinger¹

¹Department of Integrative Biology and Physiology, and Laboratory of Neuroendocrinology, Brain Research Institute, and ²Hatos Center for Neuropharmacology, University of California, Los Angeles, Los Angeles, California 90095, and ³Neuroscience and Behavior Program, Center for Neuroendocrine Studies, University of Massachusetts, Amherst, Massachusetts 01003

Within the CNS of vertebrates, estrogens can directly modulate neural circuits that govern a wide range of behaviors, including feeding, spatial navigation, reproduction, and auditory processing. The rapid actions of estrogens in brain (seconds to minutes) have become well established, but it is unclear how estrogens are synthesized and released within restricted temporal and spatial domains in neural circuits. Anatomical localization of the estrogen synthesis enzyme (aromatase) within presynaptic terminals suggests that neuroestrogens can be synthesized directly at the neuronal synapse. A consequent prediction follows that synaptic estrogen production is controlled via classical electrochemical events in neurons. Here, we present evidence that acute fluctuations in local neuroestrogen levels in the forebrain of the zebra finch depend on calcium influx within presynaptic terminals. *In vivo* experiments using microdialysis linked to a sensitive estrogen ELISA showed that local forebrain neuroestrogens were both suppressed by potassium-evoked excitation and upregulated during 30 min periods of extracellular calcium depletion in a region enriched with presynaptic aromatase. Furthermore, potassium-evoked changes in local neuroestrogens were blocked by targeted delivery of the voltage-gated calcium channel blocker ω -conotoxin GVIA. Together, these experiments indicate that neuroestrogens are controlled by specific, depolarization-sensitive, calcium-dependent events within forebrain presynaptic terminals.

Introduction

Classical neurotransmitter and neuropeptide release is dependent on depolarization-induced opening of presynaptic voltage-gated Ca^{2+} channels (VGCCs) (Catterall and Few, 2008). Like neurotransmitters and neuropeptides, steroid hormones are synthesized within brain circuits and can act as fast modulators of neural excitability and behavior. In particular, estrogens can alter neuronal excitability within seconds to minutes in the amygdala, hippocampus, striatum, hindbrain, and cortex (Thompson and Moss, 1994; Becker, 1999; Mhyre and Dorsa, 2006; Ramage-Healey and Bass, 2007; Woolley, 2007; Grassi et al., 2009; Herbison, 2009; Kelly and Rønnekleiv, 2009). Thus, brain-derived estrogens are increasingly considered genuine neuromodulators (Balthazart and Ball, 2006; Ramage-Healey and Bass, 2006; Garcia-Segura, 2008). However, it is unclear whether neuroestrogen production is controlled via electrochemical events that are the hallmark of neurotransmitter-based cell–cell communication. In particular, despite the great potential for estrogens as neurotherapeutic agents (Harden and MacLusky, 2004; Iivonen

et al., 2004), the spatiotemporal control mechanisms for neuronal estrogen production are largely unknown.

Biochemical and anatomical evidence from songbirds, rodents, and primates indicates that the estrogen synthetic enzyme aromatase is expressed within presynaptic terminals in the forebrain (Schlinger and Callard, 1989; Naftolin et al., 1996; Hojo et al., 2004; Peterson et al., 2005; Rohmann et al., 2007; Srivastava et al., 2010). This localization has driven speculation that neuroestrogen production is controlled by fast and localized mechanisms at the presynaptic terminal. In support of this idea, the biochemical activity of the aromatase enzyme is differentially regulated in synaptic versus somal cellular compartments in the forebrain of songbirds (Rohmann et al., 2007; Ramage-Healey et al., 2009). Despite these advances, tissue micropunches for enzymatic analysis have limited spatial resolution (Cornil et al., 2006; Ramage-Healey et al., 2009), and measurement of biochemical enzyme activity remains an indirect assessment of real-time fluctuating neuroestrogen levels.

A recently optimized steroid microdialysis system in songbirds enables the precise measurement and manipulation of local neuroestrogen fluctuations within discrete forebrain nuclei *in vivo* (Ramage-Healey et al., 2008, 2010). Experimental evidence using this approach shows that forebrain neuroestrogen levels can change rapidly in behavioral contexts, and that these changes are restricted to nuclei such as the caudomedial nidopallium (NCM; the avian secondary auditory cortex), which contains enriched presynaptic aromatase (Ramage-Healey et al., 2008). Here, we test the hypothesis that neuroestrogen levels in the songbird forebrain are regulated by electrochemical events (summed

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Correspondence should be addressed to Luke Ramage-Healey, Neuroscience and Behavior Program, Center for Neuroendocrine Studies, University of Massachusetts, Amherst, MA 01003. E-mail: healey@cns.umass.edu.

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over 30 min) within the NCM. Further, we determine whether these relatively acute changes in neuroestrogens depend on voltage-gated events within NCM presynaptic terminals.

Materials and Methods

Subjects. Adult male zebra finches (>130 d old) were from the University of California, Los Angeles (UCLA), breeding colony (14/10 h light/dark cycle). Animal protocols were approved by the UCLA Chancellor's Committee on Animal Care and Use. *In vivo* microdialysis has been previously optimized in this species for the measurement of neurosteroids and dopamine (Sasaki et al., 2006; Remage-Healey et al., 2008, 2010). Animals recover quickly from surgery and are required to meet observational criteria for normal feeding, drinking, and social behaviors at experiment onset.

Surgery. All surgical procedures followed those outlined previously for cannula implantation (Remage-Healey et al., 2008, 2010). Food deprivation occurred for 1–3 h before intracranial surgery to prevent complications during the procedure. Birds were stabilized in a custom stereotaxic device (head angle, 45°; Herb Adams Engineering) and kept warm with a heating pad. Lidocaine (2% in ethanol) was injected under the scalp, and feathers were removed to expose the skull area dorsal to NCM. A 26 gauge syringe needle tip (BD Biosciences) was used to make a small incision in the outer and inner skull layers above NCM, and the dura mater was carefully opened. A CMA-7 microdialysis cannula (CMA Microdialysis) was implanted into NCM and cemented in place with cyanoacrylate and dental cement (Perm Reline/Repair Resin; Coltène/Whaledent). The scalp incision was sealed with cyanoacrylate, and birds were returned to individual sound attenuation chambers for postsurgical recovery (~5 d).

Microdialysis. Before an experimental session, microdialysis probes, swivels, and fluorinated ethylene propylene tubing were each rinsed with ethanol and doubly distilled H₂O, and pre-filled with aCSF (199 mM NaCl; 26.2 mM NaHCO₃; 2.5 mM KCl; 1.0 mM MgSO₄; 2.5 mM CaCl₂; 11.0 mM glucose; 1% bovine serum albumin; pH 7.4). Microdialysis probes (CMA 7, cuprophane; CMA Microdialysis) were implanted at least 12 h before an experimental sampling session to allow behavioral adaptation and for acute implantation-induced phenomena to subside. The probe obturator was removed from the guide cannula and replaced by a CMA-7 microdialysis probe that had been pre-filled with aCSF at a flow rate of 2.0 μ l/min (PHD22/2000 syringe pump; Harvard Apparatus) and optimized for the detection of neuroestrogens (Remage-Healey et al., 2008). All samples in this study were collected at 30 min intervals (corrected for tubing length delay), and immediately sealed and stored at -80°C. At the end of each experimental session, birds were killed via isoflurane overdose and perfused through the heart with 0.9% PBS followed by 4% paraformaldehyde. Cannulae and probes were removed after perfusion to preserve the implantation site. Brains were cryoprotected and sectioned at 50 μ m thickness, and sections were mounted onto superfrost slides (Fisher Scientific), air dried, stained with thionin, and photographed under light microscope to verify probe placement. All results in this study are from histologically confirmed probes placed within NCM.

ELISA. Samples were analyzed for fluctuating 17 β -estradiol (E₂) levels using a previously optimized protocol (Remage-Healey et al., 2008) with a commercially available ELISA (Cayman Chemical). All pharmacological experiments (outlined below) required reverse delivery (retrodialysis) of the dissolved drug in aCSF, and all retrodialysis solutions were therefore analyzed alongside samples to determine drug cross-reactivity with the assay. No retrodialysis solution used in this study exhibited cross-reactivity. Assay runs differed in dynamic range of the standard curve (based on variable antibody lots from the commercial provider), therefore relative changes in E₂ levels over time within experiments (and not absolute differences in E₂ levels between experiments) are the focus of our analyses. All samples from each animal were run in the same assay to provide accurate within-subject comparisons. For comparison purposes, dashed lines in figures represent the average ELISA background reading for aCSF alone (i.e., perfusate before dialysis).

Elevated K⁺ versus Ca²⁺-free retrodialysis experiments. A prior study observed acute transient suppressive effects of the excitatory transmitter glutamate on local E₂ levels within NCM using retrodialysis (Remage-

Healey et al., 2008). To test whether electrochemical excitation/suppression would be associated with similarly rapid regulation of local E₂ levels, an elevated K⁺ versus Ca²⁺ removal paradigm was used. Retrodialysis of aCSF with elevated K⁺ ion concentrations (100 mM; "high K⁺") induces sustained depolarization events associated with classical neurotransmitter release, while removal of extracellular Ca²⁺ (i.e., depleting Ca²⁺ from aCSF perfusate) is associated with suppression of synaptic transmission (Westerink, 1995). Importantly, along with increased excitation, high K⁺ treatment can induce depolarization block *in vitro* (Lian et al., 2003), yet *in vivo* high K⁺ treatment drives sustained neurotransmitter release over 30–40 min in zebra finch forebrain (Sasaki et al., 2006). For the high K⁺ experiment ($n = 7$), normal aCSF was perfused into NCM for two successive 30 min baseline sampling periods (pre1 and pre2), and the perfusion solution was then switched to high K⁺ aCSF solution for 30 min, followed by three 30 min washout periods of normal aCSF replacement (wash 1, 2, and 3). For the Ca²⁺ experiment ($n = 9$), normal aCSF was perfused into NCM for two successive 30 min baseline sampling periods (pre1 and pre2), and the perfusion solution was then switched to a Ca²⁺-free aCSF solution for three successive 30 min periods (Ca²⁺-free 1, 2, and 3; a paradigm that induces steady removal of local extracellular Ca²⁺) (Westerink, 1995; Sasaki et al., 2006), followed by two 30 min washout periods of normal aCSF replacement (wash 1 and 2).

Conotoxin experiments. The results of the elevated K⁺ versus Ca²⁺ removal experiments were consistent with high K⁺-induced depolarization causing immediate Ca²⁺ influx through VGCCs within synaptic terminals in NCM. Because aromatase is expressed in presynaptic terminals in NCM (Peterson et al., 2005), and the enzymatic activity of aromatase is rapidly attenuated by Ca²⁺-induced phosphorylation in quail hypothalamic explants (Balthazart et al., 2003), we reasoned that high K⁺ suppressed E₂ levels via voltage-gated Ca²⁺ influx into presynaptic terminals in NCM. To specifically test this hypothesis, we retrodialyzed into NCM the Ca²⁺ channel-blocker ω -conotoxin GVIA (Sigma; doses: 0, 1 and 10 μ M; $n = 4$ each), which specifically blocks presynaptic VGCCs in vertebrates (Stanley and Goping, 1991; Yawo and Momiyama, 1993; Olivera et al., 1994). After conotoxin pretreatment, NCM was stimulated with high K⁺ to induce suppression of local E₂ levels. Despite its high molecular weight (3037 Da), which limits diffusion across the dialysis membrane (6000 Da cutoff), ω -conotoxin GVIA has been used previously in retrodialysis experiments to test *in vivo* regulation of classical neurotransmitters (Okada et al., 1998; Liu et al., 2001; Belardetti et al., 2009), indicating its suitability for this experimental approach. Two successive 30 min baseline sampling periods (pre1, pre2) established baseline E₂ levels to 0, 1, or 10 μ M ω -conotoxin dissolved in aCSF (Fig. 2; for clarity only the pre2 period is presented as "pre"), and the perfusion solution was then switched to high K⁺ aCSF for a 30 min treatment period (maintaining conotoxin dose), followed by three successive 30 min washout periods with normal aCSF (wash 1, 2, and 3). A separate experiment determined the effects of ω -conotoxin alone on local E₂ levels in NCM ($n = 4$ for 1 and 10 μ M ω -conotoxin doses each), following a similar treatment design (two successive periods of 30 min aCSF followed by two successive periods of either 1 or 10 μ M ω -conotoxin in aCSF).

Results

Elevated K⁺ versus Ca²⁺-free retrodialysis experiments

Similar to findings with glutamate-evoked excitation (Remage-Healey et al., 2008), retrodialysis of aCSF containing high-concentration K⁺ ions caused transient suppression of local E₂ levels in NCM (Fig. 1A) ($N = 7$). The nonparametric repeated-measures Friedman test revealed an overall effect of high K⁺ treatment on local E₂ levels ($\chi^2 = 13.66$; $p = 0.017$). Wilcoxon signed rank *post hoc* tests determined that local E₂ levels during the 30 min period of high K⁺ treatment were significantly suppressed relative to the preceding baseline period ($Z = -2.521$; $p = 0.012$ for pre2 vs K⁺). Therefore, a period of presumed local depolarization events (which is associated with rapid neurotransmitter release in the forebrain in this same species) (Sasaki et al., 2006) caused a rapid suppression of local E₂ levels within NCM,

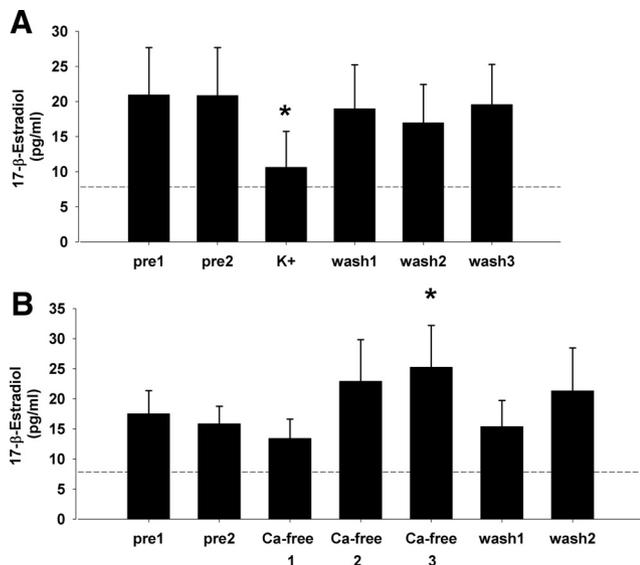


Figure 1. *A*, Local E_2 levels in NCM *in vivo* are suppressed during potassium-evoked excitation, consistent with a depolarization-sensitive electrochemical mechanism of neuroestrogen control. Relative to aCSF pretreatment periods, 30 min of 100 mM K^+ aCSF caused a relatively rapid suppression of local E_2 levels. $N = 7$. *B*, Depletion of local Ca^{2+} levels in NCM causes a steady increase in local E_2 levels, consistent with a Ca^{2+} -mediated mechanism of neuroestrogen control. $N = 9$; each time bin = 30 min. * $p < 0.05$ for within-subject comparison to baseline pre2 sampling period. Dashed line represents the average ELISA background reading for aCSF alone.

consistent with an activity-dependent regulation of neuroestrogen levels.

Conversely, retrodialysis of Ca^{2+} -free aCSF (which attenuates classical neurotransmitter release in the forebrain in this same species) (Sasaki et al., 2006) caused gradual increases in local E_2 levels in NCM (Fig. 1*B*) ($N = 9$). The nonparametric repeated-measures Friedman test revealed an overall effect of Ca^{2+} removal treatment on local E_2 levels ($\chi^2 = 7.9$; $p = 0.048$). Wilcoxon signed rank *post hoc* tests determined that local E_2 levels were significantly elevated by the third Ca^{2+} -free treatment period ($Z = 2.547$; $p = 0.011$) relative to baseline (normal Ca^{2+} -containing aCSF in pre2 sample). Once extracellular Ca^{2+} levels were restored (wash 1), local E_2 levels returned to baseline ($p < 0.05$ for the transition from Ca -free 3 to wash 1). Therefore, removal of extracellular Ca^{2+} levels within NCM was associated with a release of inhibition of local E_2 levels, consistent with a Ca^{2+} -dependent suppression of aromatase enzyme activity in NCM neurons.

Conotoxin experiments

Based on the above results, we reasoned that high K^+ suppressed E_2 levels via voltage-gated Ca^{2+} influx into presynaptic terminals in NCM. To specifically test this hypothesis, we retrodialyzed into NCM the Ca^{2+} channel blocker ω -conotoxin, which specifically blocks presynaptic VGCCs in vertebrates (Stanley and Goping, 1991; Yawo and Momiyama, 1993; Koyano et al., 1996). The nonparametric repeated-measures Friedman test does not compute multifactorial analyses, so repeated-measures ANOVA was used for analysis of the effects of conotoxin dose and time, with repeated measures over time. Two-way ANOVA revealed an overall effect of ω -conotoxin treatment over time (Fig. 2) ($F = 6.873$; $df = 6,54$; $p < 0.0001$), and a significant conotoxin dose*time interaction ($F = 2.393$; $df = 12,54$; $p = 0.015$). In the absence of conotoxin pretreatment (dose = 0 μM), *post hoc* tests

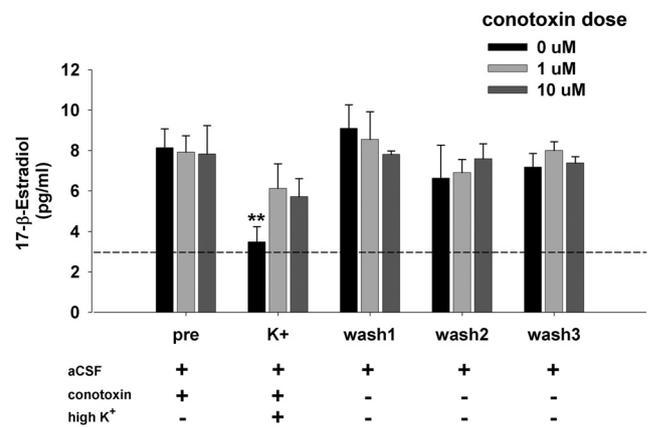


Figure 2. Acute *in vivo* changes in local E_2 levels in NCM are dependent on presynaptic VGCCs. Presynaptic inhibition of VGCCs via retrodialysis of ω -conotoxin disrupts the suppressive effect of potassium-evoked excitation on local E_2 levels. $N = 4$ /group; each time bin = 30 min. ** $p < 0.005$ for within-subject comparison to pre-sampling period. Dashed line represents the average ELISA background reading for aCSF alone.

revealed a significant suppression of local E_2 levels during the high K^+ treatment period ($Z = 8.961$; $p = 0.003$ for pre vs K^+), matching our earlier findings in the high K^+ experiment above. By contrast, for both doses of ω -conotoxin (1 and 10 μM) *post hoc* tests revealed no significant effect of high K^+ treatment on local E_2 levels in NCM when compared with pretreatment baseline ($p > 0.57$ for both tests). The Mann–Whitney U test showed a nonsignificant trend for between-group differences during the high K^+ treatment period ($Z = 1.732$; $p = 0.083$ for both 1 and 10 μM vs 0 μM). During three successive 30 min aCSF washout periods, local E_2 levels remained at baseline in all three treatment groups. In a separate experiment comparing two 30 min periods of ω -conotoxin treatment with two aCSF control periods, there was no effect of conotoxin alone on local E_2 levels in NCM (treatment: $F = 1.467$; $p = 0.269$; treatment*dose: $F = 2.034$; $p = 0.173$; data not shown). In summary, presynaptic VGCC blockade eliminated the rapid suppressive effect of high K^+ -induced depolarization on local E_2 levels in NCM.

Discussion

This study presents direct evidence that neuroestrogen fluctuations are dependent on summed (30 min) electrochemical events within presynaptic terminals in the zebra finch NCM. These findings are broadly significant in at least two ways. First, direct measurement of forebrain E_2 levels shows that neuroestrogen flux is under the control of classical depolarization-dependent phenomena, consistent with the hypothesis that neuroestrogens can fluctuate and modulate cortical information flow at a relatively fast time scale (i.e., ≤ 30 min). Second, results with the VGCC blocker ω -conotoxin are consistent with the hypothesis that the electrochemical control of neuroestrogens depends on Ca^{2+} -dependent events localized to the neuronal synapse.

Despite the excellent target specificity of ω -conotoxin for VGCC blockade in presynaptic terminals (Stanley and Goping, 1991; Yawo and Momiyama, 1993), our *in vivo* retrodialysis approach most likely resulted in incomplete diffusion into the entire local NCM neuronal population, and our 30 min exposure/sampling regime may have produced consequences secondary to VGCC blockade. Additionally, local conotoxin retrodialysis could block presynaptic VGCCs in NCM aromatase+ neurons and their local afferents. We are therefore unable to exclude the possibility that our results depend in part on modulation of af-

ferent input to NCM neuroestrogenic cells. Although a direct effect of conotoxin on estrogenic presynaptic terminal VGCCs is the most parsimonious explanation, further *in vitro* experiments can help distinguish among these hypotheses. Furthermore, improving the sensitivity of *in vivo* steroid dialysis would narrow the relatively coarse temporal resolution of neuroestrogen flux presented here (i.e., within 30 min), providing a better temporal fidelity match with the momentary changes in neuronal activity that occur in behaving animals.

Accumulating physiological evidence has demonstrated that changes in neuronal synthesis of E_2 and/or changes in brain aromatase activity can occur within minutes of stimulation. For example, biochemical activity of the aromatase enzyme in hypothalamic explants is rapidly attenuated following copulatory behavior in Japanese quail (Cornil et al., 2005, 2006). Moreover, *in vitro* studies show that glutamatergic agonists rapidly alter aromatase activity in the hypothalamus and E_2 production in the hippocampus (Balthazart et al., 2006; Mukai et al., 2006), and *in vivo* microdialysis reveals that E_2 production in the auditory forebrain is acutely glutamate-sensitive (Remage-Healey et al., 2008). Together, this body of work has indicated that E_2 is synthesized locally and changes rapidly in discrete brain nuclei.

The present study now extends these findings by directly revealing a candidate electrochemical mechanism for presynaptic control of brain E_2 levels. Accordingly, the updated current working model for rapid neuroestrogen synthesis and action is as follows: (1) neuronal aromatase is expressed and biochemically active in presynaptic terminals (Schlinger and Callard, 1989; Nafotolin et al., 1996; Peterson et al., 2005; Rohmann et al., 2007; Remage-Healey et al., 2009); (2) brain aromatase activity and estradiol production are controlled by excitatory inputs, including glutamatergic activation (Balthazart et al., 2006; Mukai et al., 2006; Remage-Healey et al., 2008); (3) neuronal estrogen production can be controlled by an excitatory, VGCC-dependent mechanism within presynaptic terminals (the present study); (4) extranuclear estrogen receptors occur in both presynaptic boutons and in dendritic processes indicative of a perisynaptic modulatory capacity (Blaustein et al., 1992; Milner et al., 2001; Xu et al., 2003; Hart et al., 2007); and (5) rapid effects of estrogens on neuronal firing properties can be both presynaptic and postsynaptic (i.e., altered intrinsic bursting states, postsynaptic currents, and/or potentiation) (Dufy et al., 1979; Wong and Moss, 1992; Rudick and Woolley, 2001; Grassi et al., 2009; Tremere et al., 2009; Remage-Healey et al., 2010). Thus, the current study provides a critical link in the logical chain of events for the modulatory control of neuroestrogen synthesis and action within brain circuits.

Our results show that ω -conotoxins do not exert constitutive effects on E_2 levels, in the absence of K-induced depolarization. However, local E_2 levels are elevated in response to depletion of extracellular Ca^{2+} concentrations, a manipulation that can affect Ca^{2+} balance at several subcellular loci within neurons. Based on these observations, we predict that other Ca^{2+} -dependent events in aromatase-positive neurons can regulate E_2 synthesis independent of depolarization phenomena and VGCCs. Candidate molecular mechanisms include intracellular Ca^{2+} -dependent second messengers such as protein kinase A (PKA), PKC, and CAM kinase, which have been implicated in the rapid control of somal aromatase activity (Balthazart et al., 2003, 2005) and may in fact rapidly control neural aromatase in songbirds and other vertebrates.

In mammalian neurons, ω -conotoxins specifically inhibit "N-type" Ca^{2+} channels that contain the α_{1B} subunit (Olivera

et al., 1994). Some caution is warranted in concluding that ω -conotoxin-sensitive phenomena in avian brain are due to N-type Ca^{2+} channels specifically since the subunit composition of Ca^{2+} channels has not been fully characterized in birds (Olivera et al., 1994; Lachica et al., 1995; Wada et al., 2003). Importantly, however, ω -conotoxin blockade of presynaptic Ca^{2+} channels is a conserved feature of the vertebrate CNS, and ω -conotoxins have been effective at presynaptic VGCC blockade in the avian auditory brainstem and midbrain (Stanley and Goping, 1991; Yawo and Momiyama, 1993; Lu and Rubel, 2005; Lu, 2009). It is therefore reasonable to expect that presynaptic VGCCs are conotoxin sensitive in the zebra finch auditory forebrain.

We believe that these findings begin to characterize a fundamental mechanism for rapid, presynaptic estrogen provisioning within neural circuits. The precise control of estrogen delivery to perisynaptic targets provides clues regarding the function of aromatase-positive fibers and boutons that are found throughout the CNS of vertebrates.

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