Phasic Mesolimbic Dopamine Release Tracks Reward Seeking During Expression of Pavlovian-to-Instrumental Transfer

Kate M. Wassum, Sean B. Ostlund, Gabriel C. Loewinger, and Nigel T. Maidment

Background: Recent theories addressing mesolimbic dopamine’s role in reward processing emphasize two apparently distinct functions, one in reinforcement learning (i.e., prediction error) and another in incentive motivation (i.e., the invigoration of reward seeking elicited by reward-paired cues). Here, we evaluate the latter.

Methods: Using fast-scan cyclic voltammetry, we monitored, in real time, dopamine release in the nucleus accumbens core of rats (n = 9) during a Pavlovian-to-instrumental transfer task in which the effects of a reward-predictive cue on an independently trained instrumental action were assessed. Voltammetric data were parsed into slow and phasic components to determine whether these forms of dopamine signaling were differentially related to task performance.

Results: We found that a reward-paired cue, which increased reward-seeking actions, induced an increase in phasic mesolimbic dopamine release and produced slower elevations in extracellular dopamine. Interestingly, phasic dopamine release was temporally related to and positively correlated with lever-press activity generally, while slow dopamine changes were not significantly related to such activity. Importantly, the propensity of the reward-paired cue to increase lever pressing was predicted by the amplitude of phasic dopamine release events, indicating a possible mechanism through which cues initiate reward-seeking actions.

Conclusions: These data suggest that those phasic mesolimbic dopamine release events thought to signal reward prediction error may also be related to the incentive motivational impact of reward-paired cues on reward-seeking actions.

Key Words: Incentive motivation, nucleus accumbens, Pavlovian-to-instrumental transfer, phasic dopamine, reward, tonic dopamine

Recent theories addressing mesolimbic dopamine’s role in reward processing emphasize two apparently distinct functions. Actor-critic reinforcement learning models (1–3) posit that phasic mesolimbic dopamine signaling serves a teaching function, reporting errors in reward prediction to areas involved in reward learning, such as the nucleus accumbens core (NAc). This hypothesis is based largely on data showing that both dopamine cell firing (4,5) and phasic mesolimbic dopamine release (6) shift from reward delivery to reward-predictive cues during Pavlovian conditioning and discrete-trial instrumental tasks (7–9). The second popular hypothesis, based mainly on pharmacologic manipulation and lesion data, proposes dopamine is responsible for mediating the incentive motivational properties of reward-predictive cues (10–13), allowing them to elicit appetitive behaviors (approach) and invigorate actions instrumental to obtaining reward (14–19).

Dopamine’s putative roles as prediction error signal and mediator of incentive motivation have been argued to reflect separate neurobehavioral processes, perhaps involving distinct phasic and tonic signaling, respectively (5,11,20,21). However, several recent computational models of action selection have begun to integrate these concepts (22–24), and a recent study has suggested that phasic dopamine, in particular, displays the properties of a teaching signal in a Pavlovian learning task but only in individuals in which reward-paired cues acquire incentive motivational properties (13,25).

Indeed, in evaluating the role of phasic dopamine in reward seeking, we recently found evidence that these two functions of dopamine, prediction error teaching signal and incentive motivation, may be linked. For rats performing a self-paced instrumental sequence task, phasic mesolimbic dopamine release shifted from the reward to more distal elements of the sequence, ultimately preceding sequence initiation (26), consistent with actor-critic models. Interestingly, however, the amplitude of phasic mesolimbic dopamine release preceding action sequence initiation predicted the speed with which that sequence was completed (26), suggesting that the very same dopamine-mediated reward prediction error signal thought to be responsible for reinforcement learning (1–3) may also be related to the incentive motivational influence of cues on reward seeking (26–28). Therefore, here, we examined the profile of both phasic dopamine release and slower changes in extracellular dopamine by measuring NAc dopamine release with fast-scan cyclic voltammetry (FSCV) during a Pavlovian-to-instrumental transfer task in which the effects of a reward-paired cue on a separately trained instrumental reward-seeking action were assessed. Unlike more commonly used instrumental and Pavlovian cued-response tasks in which the reward-paired cue is directly associated with either the instrumental action (e.g., lever press) or Pavlovian conditioned response (e.g., food cup approach), in this test there is no direct association between the reward-paired cue and the action; the Pavlovian-to-instrumental transfer test, therefore, provides a pure incentive motivation measure because the reward-paired cue does not signal predictive information with respect to the reinforcement of actions (14,29).

From the Department of Psychology (KMW); Brain Research Institute (KMW, SBO, NTM); and Department of Psychiatry & Biobehavioral Sciences, Semel Institute for Neuroscience and Human Behavior (SBO, NTM), University of California, Los Angeles, Los Angeles; Pfizer College and Claremont Colleges Neuroscience Program (GCL), Claremont, California.

Authors KMW and SBO contributed equally to this work.

Address correspondence to Kate M. Wassum, Ph.D., University of California, Los Angeles, Department of Psychology, 8548 Franz Hall, UCLA, Los Angeles, CA 90095; E-mail: kwassum@ucla.edu.

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Methods and Materials

Detailed methods are described in Supplemental Methods in Supplement 1. Briefly, male Sprague Dawley rats (n = 9) were implanted with carbon fiber microelectrodes into the NAc core. Chronically implantable microelectrodes, as described previously (30), were chosen to minimize test day stress by allowing training tethering habituation and removing electrode implantation stress. Such stress can disrupt reward-related behaviors, including Pavlovian-to-instrumental transfer (31). Figure 1 presents histological data. Rats were first given Pavlovian training wherein an auditory cue (the conditioned stimulus [CS]) was paired with grain pellet reward delivery. In a second training phase, rats received single lever instrumental training for the same grain pellet reward, in the absence of the previously trained Pavlovian cue. At test, FSCV was used to record dopamine concentration changes in the NAc core during a Pavlovian-to-instrumental transfer test in which rats were allowed to respond on the lever while the CS was presented four times intermixed with four presentations of a control cue (unconditioned stimulus [CS⁻]).

Electrochemical data were analyzed using software written in LabVIEW (National Instruments, Austin, Texas). Chemometric analysis (32,33) was used to isolate current changes due to dopamine from the FSCV data. Three main measures of dopamine were quantified and analyzed: dopamine transient frequency, dopamine transient amplitude, and average nontransient slow dopamine change. Data analysis details can be found in Supplemental Methods in Supplement 1. All statistical tests were conducted with Graph Pad Prism (GraphPad Software, San Diego, California) and SPSS (IBM, Chicago, Illinois). For all hypothesis tests, the alpha level for significance was set to p < .05.

Results

This experiment was conducted in three phases. In phase 1, Pavlovian training was used to pair an auditory cue (the CS⁺) with grain pellet reward delivery and an alternate auditory cue (the CS⁻) with no reward. By the end of Pavlovian training, all rats entered the food cup magazine significantly more during the CS⁺ presentations of a control cue (unconditioned stimulus [CS⁻]) than during a CS⁻ presentation (t(8) = 3.0, p = .02). Phase 2 involved single lever instrumental training for grain pellet reward, in the absence of the previously trained Pavlovian cues. In phase 3, rats were given a general Pavlovian-to-instrumental transfer test, whereby, following a short extinction period, both the CS⁺ and CS⁻ were presented in alternation while the lever was available, but pressing was not rewarded. Fast-scan cyclic voltammetry was used to monitor NAc dopamine concentration changes during this test. The core region of the accumbens was specifically selected here based on the described necessity of the accumbens core, but not the shell, for the expression of general Pavlovian-to-instrumental transfer (34).

The general Pavlovian-to-instrumental transfer test is an ideal measure of the Pavlovian incentive motivation that mediates the ability of a reward-paired stimulus to acquire general appetitive, motivational properties and thereby enhance reward approach behaviors and invigorate the performance of actions instrumental to gaining rewards (35–38). As seen in Figure 2, there was a clear Pavlovian-to-instrumental transfer effect marked by elevated lever pressing during the CS⁺ relative to the CS⁻. Statistical analysis showed main effects of CS (F₁,₈ = 14.25, p = .005) and trial (F₃,₂₄ = 4.40, p = .01), as well as a marginally insignificant interaction between these factors (F₃,₂₄ = 2.58, p = .07). Bonferroni post hoc comparisons clarified this interaction; there was a significant increase in lever pressing induced by the CS⁺, relative to the CS⁻, during the first pair of trials only (p < .001; p > .05 for trials 2–4).

A Reward-Paired Cue Induces a Slow Increase in Nontransient Dopamine Concentration and Increases in Both the Frequency and Amplitude of Phasic Dopamine Release Events in the Nac

Figure 3A shows the effects of CS⁺ and CS⁻ cue presentation on NAc dopamine levels averaged across trials. As can be seen in this figure, the onset of the reward-paired cue, but not the CS⁻, initiated a slow increase in dopamine that was sustained for the 2-minute stimulus duration. Indeed, statistical analysis revealed that the average dopamine concentration change, with transient dopamine events filtered out, during the 2-minute CS⁺ period was significantly greater than that during the CS⁻ (t₈ = 2.23, p = .05; Figure 4A). Analysis of the average dopamine concentration change across the 2-minute CS time frame detected a main effect of CS (F₁,₉ = 5.01, p = .05), but neither an effect of time (12, 10 sec epochs; F₁,₉,₈₈ = 1.09, p = .38) nor a time × CS interaction (F₁,₉,₈₈ = .83, ***p < .001.

![Figure 1. Histological verification of recording sites. Schematic representation of recording sites. Line drawing of coronal section is reprinted from Paxinos and Watson (58), with permission from Elsevier, copyright 1998, +.7 mm from bregma. Gray circles represent the electrode placement for each rat.](www.sobp.org/journal)
These data suggest that the reward-paired cue induced a sustained increase in extracellular dopamine concentration.

Such time averaging of the data in Figure 3A obscures the rapid transient fluctuations in dopamine that were detected during both cue periods. To elucidate the effects of the reward-paired cue on phasic dopamine release events, we identified and quantified such events during each CS period. The representative traces shown in Figure 3B and 3C provide examples (marked with asterisks) of dopamine transients that reached the threshold for designation as dopamine transients, during both cue periods. To elucidate the effects of the rapid transient fluctuations in dopamine that were detected during each CS period, we identified and quantified such events during each CS period. The dashed line at time point 0 indicates the onset of the CS (dark gray = CS+, light gray = CS−). The scale bar reflects a 10 nmol/L dopamine concentration change. Dashed lines above each trace indicate ±1 standard error of the mean. See Figure S1 in Supplement 1 for the nonnormalized form of these data. (B,C) Representative dopamine concentration versus time traces for CS− (B) and CS+ (C) taken from the first CS presentations for a single rat. The scale bar to the left shows a 20 nmol/L dopamine concentration change. Blue tick marks indicate the time of each lever press. These representative traces provide examples of fluctuations in dopamine that reached threshold for designation as dopamine transients, marked by asterisks. For representative examples from trials 2 to 4, see Figure S2 in Supplement 1.

Dopamine Signaling in the Nac Is Associated with Lever Press Rate

To understand the relationship between dopamine release events and lever pressing activity during the Pavlovian-to-instrumental transfer test, we next assessed the temporal association between dopamine transients and lever pressing. Figure 5A shows the percentage of lever presses, during the CS− and CS+, that were immediately preceded (within 5 sec) by a dopamine transient (separating small- and large-amplitude transients relative to pre-CS mean transient amplitude). Statistical analyses revealed a main effect of transient size ($F_{1,8} = 5.22, \ p = .05$) but neither an effect of CS ($F_{1,8} = .95, \ p = .36$) nor an interaction between these factors ($F_{1,8} = .03, \ p = .87$). Thus, regardless of the predictive nature of the CS (CS− control or reward-predictive CS+), lever presses were more likely to be preceded by larger rather than smaller dopamine events during CS presentation. Indeed, despite the fact that dopamine transients were generally larger during the CS+ relative to the CS− (Figure 4C), large dopamine transients did occur during the control CS− period, and during this period, these larger amplitude dopamine transients were more likely to precede lever-pressing actions than small-amplitude transients (for representative example, see Figure S2C in Supplement 1).

The low proportion of presses that were preceded by transients, regardless of size, may in part reflect the fact that transients tended to precede bouts of lever-pressing activity. To evaluate this, we conducted an additional analysis focusing on isolated presses and presses that initiated a bout of pressing activity (defined by more than one press with an interpress interval of <6 sec). Such lever press bout initiations were preceded by dopamine transients 19.58% (SEM = 6.74) and 39.1% (SEM = 7.19) of the time during the CS− and CS+, respectively. Figure 5B presents these data separating small- and large-amplitude transients. Statistical analyses revealed a main effect of transient size ($F_{1,8} = 10.79, \ p = .051$) with more burst initiations being preceded by large transients, as in the previous analysis, but now we also saw an effect of CS ($F_{1,8} = 6.45, \ p = .04$), with a greater proportion of bout initiations being preceded by dopamine transients during the CS− than the CS+. However, there was no interaction ($F_{1,8} = .75, \ p = .41$); that is, both large and small dopamine transients were represented in

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the increased proportion of press bouts that were preceded by transients during the CS+.

the increased proportion of press bouts that were preceded by transients during the CS+. In summary, these analyses indicate a temporal relationship between reward-seeking actions and larger amplitude phasic dopamine release events.

We next investigated the specific relationship between dopamine signaling and cue-induced reward seeking by focusing an analysis on the period in the test where Pavlovian-to-instrumental transfer was apparent (i.e., during the first CS+ presentation [Figure 2]). These between-subjects correlation data are presented in Figure 6. As can be seen in Figure 6A, the average nontransient dopamine concentration change during the first CS+ presentation was not significantly associated with lever pressing ($r_g = - .51, p = .16$), suggesting that slower dopamine changes induced by the CS+ do not track the ability of the reward-predictive cue to increase lever pressing. While dopamine transient frequency was not significantly correlated with the increase in reward-seeking actions induced by the CS+ ($r_g = - .11, p = .77$; Figure 6B), the amplitude of those phasic dopamine release events did significantly positively predict the degree to which the cue invigorated reward-seeking actions during the CS+ ($r_g = .79, p = .01$; Figure 6C). Thus, in those rats for which the CS+ induced a larger increase in dopamine transient amplitude, it also induced a larger increase in reward seeking. This between-subjects correlation shows a clear positive relationship between dopamine transient amplitude and cue-induced reward seeking, suggesting a specific relationship between phasic dopamine release amplitude and incentive motivation.

Given the above result, we next examined the relationship between accumbal dopamine signaling and reward-seeking actions, generally to evaluate whether within-session changes in dopamine signaling were correlated with lever-pressing actions. Figure 7 shows the total number of lever presses (which is the same for 7A, 7C, and 7E) for each 2-minute CS plotted alongside the average nontransient slow dopamine concentration change (Figure 7A), the total number of dopamine transients (Figure 7C), and the average amplitude of those phasic release events (Figure 7E). As can be seen from these figures, the effects of the CS+ on each dopamine measure did not depend on trial; statistical analyses separating trials 1 to 4 showed only main effects of CS (average dopamine [Figure 7A]: $F_{1,8} = 5.01, p = .05$; total transients [Figure 7C]: $F_{1,8} = 17.35, p = .003$; and transient amplitude [Figure 7E]: $F_{1,8} = 5.43, p = .048$), with no significant effects of trial (average dopamine: $F_{3,24} = .80, p = .51$; total transients: $F_{3,24} = 2.77, p = .06$; transient amplitude: $F_{3,24} = 2.48, p = .09$) or trial × CS interaction (average dopamine: $F_{3,24} = .71, p = .56$; total transients: $F_{3,24} = .80, p = .51$; transient amplitude: $F_{3,24} = .026, p = .99$). More importantly, Figure 7 provides data regarding the relationship between dopamine signaling and reward seeking. Scatter plots showing each data point are presented in Figures 7B, 7D, and 7F. To take into account each measure of dopamine and evaluate which measures—transient dopamine frequency or amplitude or slower dopamine changes—predicted lever pressing, we conducted a linear mixed model analysis, including all data points from the CS− and CS+ for each rat. This analysis, which has been described for similar data previously (39,40), was chosen for its ability to appropriately handle data in which observations are not independent (e.g., repeated measures of dopamine across a session) and for its model-corrected error terms and incorporation of random subject effects. Here, we use this analysis to determine which dopamine measures were related to lever-pressing activity across the entire test (including both CS− and CS+ periods) and with what relative strength. Average nontransient dopamine concentration change, total dopamine transients, and average dopamine transient amplitude were used as fixed factors to predict the target: total lever presses per 2-minute CS period. Subject identity was used as a random factor to control for electrode-specific variability in dopamine signaling (e.g., placement and sensitivity) and a variance component covariance structure was used because this provided the lowest possible information.
criterion score. The overall corrected model was found to significantly predict lever-pressing activity ($F_{3,68} = 5.28, p = .002$), and specifically, dopamine transient amplitude positively predicted lever-pressing activity ($F_{1,68} = 11.57, p = .001$; Figure 7E,F). The coefficient estimate was .17 (±.05 SEM), indicating that every 5.88 nmol/L increment in the average dopamine transient amplitude predicted a single lever press increase. Interestingly, the frequency of this phasic dopamine release was not shown with this model to predict lever pressing ($F_{1,68} = 10.36, p = .55$, coefficient = .127; Figure 7C,D), suggesting that phasic dopamine release amplitude, but not frequency of occurrence, is associated with lever-pressing likelihood. Importantly, slower nontransient average dopamine concentration changes did not significantly predict lever pressing ($F_{3,68} = .15, p = .70$, coefficient = -.0009; Figure 7A,B). These data suggest that greater phasic dopamine amplitude, specifically, rather than slower dopamine concentration changes, predicted greater lever-pressing activity across the entire test. Taken together, these results suggest that phasic dopamine release event amplitude relates to the incentive motivation that allows reward-paired cues to control the performance of reward-seeking actions and that changes in such signaling also predict reward-seeking actions more generally.

**Discussion**

We show that both the frequency and amplitude of phasic dopamine release events, as well as slower nontransient dopamine levels in the NAc, were increased during presentation of a reward-predictive cue in rats performing a Pavlovian-to-instrumental transfer test. Interestingly, dopamine transients were temporally related to lever-pressing activity, and the propensity of the reward-paired cue to increase lever pressing was predicted by the amplitude of these events, indicating a potential role for phasic mesolimbic dopamine release in incentive motivation. Moreover, across both CS+ and CS- periods, the amplitude of specifically phasic dopamine release was positively correlated with lever-press activity, while slower dopamine changes were not significantly related to such actions.

Our finding that a reward-predictive cue increased both phasic dopamine signaling and produced slower increases in extracellular dopamine levels in the NAc corroborates previous studies using FSCV and microdialysis, respectively, to demonstrate that reward-paired cues elicit phasic dopamine release (6) and increase tonic extracellular dopamine levels (41) in the NAc. However, both of these studies employed salient, short-duration (10 sec) cues that signaled imminent reward delivery, whereas,
here, we used long-duration cues that probabilistically predicted reward. Somewhat paradoxically, these long-lasting, less predictive cues are known to be particularly effective in invigorating reward-seeking actions (42), perhaps because they serve as a context for reward delivery without eliciting strong competing conditioned behaviors (43). Interestingly, the amplitude of cue-evoked dopamine transients reported here was generally lower than reported in studies using short, highly predictive reward-paired cues (6), suggesting that cue-evoked phasic dopamine may encode the strength of reward anticipation. Additionally, since rewards were omitted when the CS\(^+\) was presented at test, it is possible that negative reward predictions impacted the cue-evoked dopamine responses reported here, particularly during early trials when the omission of cue-contingent reward would be surprising. Such effects on dopamine signaling would be expected to be negative and...

Figure 7. Phasic dopamine (DA) release amplitude positively predicts lever pressing. For each 2-minute conditioned stimulus (CS) period during the test, the average nontransient slow DA change (A), total number of dopamine transients (C), and average amplitude of those transients (E) were averaged across rats and plotted alongside the total number of lever presses during each CS period, also averaged across rats (right y axis). The same lever-pressing data are presented in (A), (C), and (E) to show the relationship between lever pressing and each dopamine measure. All eight CS presentations (four unconditioned stimulus [CS\(^-\)] and four conditioned stimulus [CS\(^+\)]) are shown. In all cases, the CS\(^-\) is shown first; however, the order of CS presentation was counterbalanced across rats with half beginning with the CS\(^-\) and half beginning with the CS\(^+\). (B,D,F) Scatter plot including all CS\(^-\) (light gray circles) and CS\(^+\) (dark gray circles) data points for each rat (four of each CS trial per rat) for either the average nontransient slow change in dopamine (B), the total number of dopamine transients (D), or the average amplitude of dopamine transients (F) on the x axis against the total number of lever presses (y axis) for each 2-minute CS period. Regression lines are taken from the coefficient output from the linear mixed-model analysis, which included all dopamine variables as predictors of the target lever pressing variable (see text). Error bars indicate ±1 standard error of the mean.
thereby oppose the reward-paired cues’ excitatory effects on dopamine release and may account for the low amplitude responses we observed. Lastly, it is noteworthy that the effects of the cues on dopamine signaling were not diminished over trials, unlike their behavioral effects, suggesting that mesolimbic dopamine release was not simply a reflection of motor activity associated with lever pressing.

Regarding the relationship between dopamine and reward-based behavior, our data show that the amplitude of specifically phasic dopamine release predicted the ability of a reward-paired cue to invigorate reward-seeking actions. That is, phasic dopamine release amplitude, but neither dopamine transient frequency nor slower dopamine concentration changes, tracked the Pavlovian-to-instrumental transfer effect. These data are seemingly in line with previous studies showing that phasic dopamine release in response to simple Pavlovian reward-prediction of the data is that NAc dopamine transients enable the ability of these contextual cues to facilitate behavior, an interpretation that is supported by the observation that the amplitude of dopamine transients was greater during the CS+ than the CS−. These results are consistent with a large and growing body of evidence suggesting a role for phasic dopamine signaling in Pavlovian incentive motivation. Indeed, data from mice genetically altered to reduce phasic dopamine activity suggest that such activity is necessary for reward-motivated behaviors (55). Moreover, phasic NAc dopamine signaling has been shown to be related to both the acquisition and expression of cue-directed approach behavior (i.e., sign tracking), another commonly used assay of incentive motivation (13). Although our results are correlational, there is evidence suggesting that dopamine D1 receptors in the NAc, which are activated preferentially by phasic dopamine release (56), are necessary, although not exclusively so, for the expression of Pavlovian-to-instrumental transfer (57).

While these data support a role for phasic dopamine signaling in Pavlovian incentive motivation, we have previously shown that phasic dopamine release in the NAc backpropagates from the reward itself through a sequence of actions instrumental to reward delivery (26), a finding that is most readily interpreted as supporting a role in reinforcement learning. However, in that study, we also found that phasic dopamine release occurring before rats initiated the action sequence predicted the time it took them to complete the task, indicating that it was related to task motivation. Together with the current results, these findings suggest that phasic dopamine signaling may be involved both in reinforcement learning and in the attribution of incentive salience, allowing reward-predictive cues, both those explicitly trained and those more subtle environmental or internal, to provoke reward seeking. This hypothesis is bolstered by several recent attempts to integrate dopamine’s putative role as a mediator of incentive motivation into the reinforcement learning framework (23,24), as well as the suggestion that phasic dopamine release relates to a prediction error teaching signal in individuals in which reward-paired cues acquire incentive motivational properties (25). In summary, these data provide further evidence for the involvement of specifically phasic NAc dopamine signaling in incentive motivation and self-initiated reward-seeking behavior.

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