

A Single Administration of Low-Dose Varenicline Saturates $\alpha 4\beta 2^*$ Nicotinic Acetylcholine Receptors in the Human Brain

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The primary objective of this project was to determine the $\alpha 4\beta 2^*$ nicotinic acetylcholine receptor (nAChR) occupancy in human brain of a single low dose of varenicline (0.5 mg), and to explore the relationship between receptor occupancy by varenicline and tobacco withdrawal symptoms (*denoting other putative nAChR subunits). Otherwise healthy smokers ($n = 9$) underwent two positron emission tomography (PET) sessions with the selective $\alpha 4\beta 2^*$ radioligand 2-FA. For the PET sessions, participants received either a low dose of varenicline (0.5 mg) or matching placebo pill (double-blind, random order) before imaging. For both sessions, participants received bolus plus continuous infusions of 2-FA, were scanned for 1 h after allowing the radiotracer to reach a steady state, smoked to satiety, and were scanned for 2 more hours. We estimated the fractional receptor occupancy by a single dose of varenicline (0.5 mg) and the corresponding varenicline dissociation constant (K_V), along with the effect of low-dose varenicline, pill placebo, and smoking-to-satiety on withdrawal rating scales. The data are compatible with 100% occupancy of $\alpha 4\beta 2^*$ nAChRs by a single dose of varenicline, with a 90% lower confidence limit of 89% occupancy for the thalamus and brainstem. The corresponding 90% upper limit on effective K_V with respect to plasma varenicline was 0.49 nM. Smoking to satiety, but not low-dose varenicline, significantly reduced withdrawal symptoms. Our findings demonstrate that low-dose varenicline results in saturation of $\alpha 4\beta 2^*$ nAChRs in the thalamus and brainstem without reducing withdrawal symptoms.

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INTRODUCTION

Tobacco use is the leading cause of preventable death in the United States, with an estimated 100 billion dollars spent on healthcare costs, annually, associated with the condition. Prolonged tobacco use is a major risk factor for a number of illnesses, including cancer, cardiovascular disease, and emphysema. The consequences of tobacco use are far reaching, influencing others through second- (Brody *et al*, 2011) and third-hand (Ueta *et al*, 2010) exposure, as well as causing developmental exposure during pregnancy (Lotfipour *et al*, 2009, 2010; Toledo-Rodriguez *et al*, 2010). Given the many risks associated with tobacco use and the global consequences, it is surprising that over a billion people continue to smoke worldwide. For these reasons, researchers have aimed to understand the mechanisms mediating tobacco addiction for the purpose of developing prevention

and intervention strategies to reduce the health costs associated with this pandemic condition.

Clinical and preclinical evidence suggest that nicotine represents a primary constituent in tobacco smoke leading to reward and withdrawal (Brody *et al*, 2006, 2009). Binding to nicotinic acetylcholine receptors (nAChRs) throughout the brain and body, nicotine mimics the properties of endogenous acetylcholine, which is known to influence reward, attention, and memory, with continued exposure leading to long-term neurochemical adaptations in the brain. This mechanism is proposed to underlie the withdrawal syndrome precipitated by smoking cessation (Changeux, 2010).

Tobacco withdrawal is a primary cause of relapse, thereby perpetuating use (Kenny and Markou, 2001). The withdrawal syndrome is characterized by both affective (psychological) and somatic (physical) components, including craving, irritability, trouble in sleeping, anxiety, body tremors, headaches, weight gain, and depression (Hughes, 2007a; Kenny and Markou, 2001). Symptoms of withdrawal peak early after smoking cessation (Hatsukami *et al*, 1991; Hughes, 2007a), and have been shown to be alleviated through smoking-cessation therapies that act on nAChRs and can persist for many weeks (Hatsukami *et al*, 1991;

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Hughes, 2007a). To alleviate withdrawal, thereby reducing relapse in smokers, researchers have focused their attention on smoking-cessation therapies that affect nAChRs (Fowler *et al*, 2008; West *et al*, 2008).

Nicotinic receptors are pentameric ligand-gated ion channels, comprised of either homomeric alpha ($\alpha 7$, 9) or heteromeric alpha ($\alpha 2$ -7, 9, 10) and beta ($\beta 2$ -4) subunits (Changeux, 2010). The $\alpha 4\beta 2^*$ nAChR is the most common and widely distributed nicotinic receptor assembly in the brain (*denoting other putative nAChR subunits) (Lotfipour *et al*, 2011b). A large body of evidence, however, exists for the role of non- $\alpha 4\beta 2^*$ nicotinic receptor subunits in mediating nicotine withdrawal (eg, $\alpha 2$, $\alpha 5$, or $\beta 4$), with results suggesting that certain nAChR subunits, but not others, differentially regulate somatic and affective nicotine-withdrawal symptoms (Changeux, 2010). Through genetic knockout studies in mice, the $\beta 2^*$ -containing nAChRs are known to mediate more affective *vs* somatic symptoms of nicotine withdrawal (Jackson *et al*, 2008). In humans, growing evidence also suggests that $\beta 2^*$ -selective ligands could modify psychological withdrawal symptoms, which may influence long-term tobacco cessation.

Varenicline (Chantix), a partial agonist at $\alpha 4\beta 2^*$ nAChRs, is now considered to be one of the leading medications for the enhancement of long-term smoking cessation. Mechanisms of varenicline that influence smoking cessation are, at least in part, through the reduction of affective components of withdrawal after long-term treatment (West *et al*, 2008). Over 13 million people have been prescribed varenicline, with many controlled studies demonstrating efficacy for assisting smokers in initiating and maintaining smoking abstinence and reducing psychological withdrawal (Cahill *et al*, 2011). The current commonly used treatment strategy is to administer varenicline starting at 0.5 mg per day and gradually increase the dose to 1 mg twice daily for the remaining treatment period (Tsai *et al*, 2007). When used as a treatment, low-dose varenicline (0.5 mg bid) demonstrated a two-fold increase (*vs* placebo) in tobacco cessation rates at 52-week follow-up, and had fewer adverse side effects than the higher dose (Cahill *et al*, 2011). Furthermore, varenicline treatment (1 mg bid or 1–4 0.5 mg *ad lib*) was shown to reduce affective withdrawal symptoms after 1–12 weeks of treatment in the studies reviewed by the Cochrane report, as evaluated through the Minnesota Nicotine Withdrawal Scale (MNWS) and the Questionnaire of Smoking Urges-Brief (QSU-brief) (Cahill *et al*, 2011). Varenicline treatment at higher (1 mg twice daily) *vs* lower doses is reported to have enhanced reductions in tobacco withdrawal symptoms (Cahill *et al*, 2011).

The main purpose of the study was to determine the degree of occupancy caused by varenicline and to measure the effective K_D . It has previously been demonstrated that withdrawal symptoms do not respond to a single dose of varenicline but require chronic treatment. Thus, the current paper aims to determine whether in fact low-dose varenicline at the 0.5 mg dose actually binds to $\alpha 4\beta 2^*$ nAChRs in humans and whether the effect is maximal, ie, by virtue of nearly full saturation, as predicted from preclinical studies (Rollema *et al*, 2010). Our findings demonstrate that even near-saturation of the receptors does not alleviate withdrawal symptoms. Results may better identify the pharmacological actions of varenicline in the human brain,

which may (in the future) translate to better treatment and dosing regimens. We used positron emission tomography (PET) coupled with the selective $\alpha 4\beta 2^*$ radioligand, 2-[(18F)fluoro-A-85380 (2-FA), widely used by our laboratory and others (for a review on the fundamentals of the method, please see Lotfipour *et al* (2011b)). Through a double-blind, placebo-controlled study, we assessed 2-FA distribution volumes in the brain using a within-subject design (ie, the same participants were given a 0.5 mg dose of varenicline and matching pill placebo in separate PET sessions). We assessed the influence of varenicline, pill placebo, and smoking-to-satiety on anxiety and psychological withdrawal symptoms.

SUBJECTS AND METHODS

Participants and Ethical Approval

Research participants were recruited through advertisements on the internet on Craigslist. Study inclusion criteria were smoking ≥ 10 cigarettes/day, 18–65 years of age, no previous history of psychiatric illness, no history of drug or alcohol abuse/dependence, and no metal body implants. The exclusion criteria for past psychiatric disorder/drug abuse was a self-report assessed through an in-person interview with the study principal investigator. Each participant was compensated \$20/hour for time spent on study activities, and \$100 to remain abstinent for two days before PET scanning. This study was approved by the Institutional Review Board for the VA Greater Los Angeles Medical Center.

Study Design

After obtaining informed consent, participants underwent an initial interview in which study inclusion/exclusion criteria were verified. Participants were asked to complete a set of behavioral rating scales on screening day and were asked to abstain from smoking for 2 nights before the testing session. We chose 2 nights of abstinence, based on our previous results that even the low plasma-nicotine level of 0.2 ng/ml (equivalent to a single puff on a cigarette) would occupy 20% of available nicotinic receptors (Brody *et al*, 2006). As a heavy smoker has plasma levels of nicotine equal to 50–100 ng/ml, with a half-life of 2.5 h of nicotine in the body (Benowitz and Jacob, 1993), 1 day of tobacco abstinence would equal nearly 10 half-lives leading to a plasma-nicotine level of ~ 0.08 – 0.16 ng/ml. Thus, to obviate these confounds, we requested smokers to remain abstinent for 48 hours. Abstinence was later verified on the day before and the day of the testing session through carbon monoxide (CO) measures. On the day of the testing session, after confirmation of smoking abstinence, participants were required to test negative for dependent drugs and pregnancy (for females of child-bearing potential). At 0900 hours, participants were administered either varenicline or pill placebo in a randomized order (double-blind) and allowed to rest until 1200 hours.

At noon, participants were given intravenous bolus (3.8 mCi) plus continuous infusion of 2-FA (0.27 mCi/h). 2-FA was synthesized as described previously (Doll *et al*, 1999), and the bolus plus infusion was designed to produce an

approximate steady-state level of 2-FA in the brain within 4 h after injection (Brody *et al*, 2006, 2009, 2011). Lunch was provided after infusion initiation and participants continued to rest until the first PET imaging block at 1600 hours.

Scanning sessions were performed in three separate blocks, the first lasting 60 min (block 1, 1600–1700 hours), followed by 40 min (block 2: 1730–1810 hours) and 50 min (block 3: 1830–1920 hours) block. Between block 1 and 2 (1700–1730 PM), participants smoked to satiety. During the smoking-to-satiety session, participants were advised: ‘you will have a 10-minute break to stand up, stretch outside of the scanner and smoke 2–3 cigarettes. You can smoke until you no longer need another cigarette.’

PET images were acquired using a Philips Gemini TF PET-CT scanner (Philips Healthcare, Eindhoven, the Netherlands). Data were acquired in fully 3-dimensional mode and reconstructed in 10-min frames using Fourier Rebinning and Filtered Back Projection. Attenuation correction was performed using the CT scan. Slice thickness was 0.2 cm and transaxial resolution 5.1 mm (full-width-half-maximum).

Placebo and Varenicline Administration

In a double-blind, placebo-controlled manner, each participant was administered either a placebo or low-dose varenicline (0.5 mg) pill, before the PET sessions, which were separated by at least 2 weeks. The timing of varenicline administration was chosen to have peak plasma levels at roughly the start of the 2-FA infusion at 1200 hours (Obach *et al*, 2006). The aim was to have peak occupancy of $\alpha 4\beta 2^*$ nAChRs by varenicline at approximately the time of bolus 2-FA administration. This schedule allows 2-FA to reach steady-state levels in the presence of varenicline near its peak level. Given the long plasma half-life (17 h) of varenicline, we predicted high plasma levels of the medication throughout the 2-FA bolus plus infusion injection, thereby remaining reasonably constant during the initial period of 2-FA uptake (1200 to 1600 hours) and first block of the PET scanning session (1600–1700 hours) and slowly decreasing through the end of the imaging session (Obach *et al*, 2006).

Carbon Monoxide, Nicotine/Cotinine, and Toxicological Measurements

Exhaled carbon monoxide measures were obtained from participants during the initial screen, with an inclusion criteria of ≥ 8 parts per million (ppm) for study enrollment (to verify smoking status). For each PET session, participants were asked to abstain from cigarette smoking for 2 days. Abstinence was tested through carbon monoxide measures (≤ 4 ppm) and later verified with plasma nicotine (< 1.0 ng/ml, the limit of detection) and cotinine analysis (< 60 ng/ml). Carbon monoxide measures were obtained at 0900 hours (before placebo/varenicline administration, T1), 1200 hours (time of 2-FA infusion, T2), 1700 hours (before smoking, T3), 1730 hours (after smoking, T4), and at the end of PET scanning session, T5.

Blood Collection Times and Analysis

Blood-plasma samples were obtained for quantification of free, unmetabolized 2-FA, as previously reported (Brody *et al*, 2011), as well as for the measurement of nicotine, cotinine, and varenicline levels. Whole blood was collected in heparinized sealed tubes placed on ice until centrifugation; subsequently, plasma was collected and stored in polypropylene tubes. For 2-FA analysis, plasma was quantified on the day of the PET scan using methods previously published (Shumway *et al*, 2007; Sorger *et al*, 2006, 2007). For nicotine/cotinine and varenicline plasma analysis, samples were stored at -80°C until the end of the study, and were shipped to and quantified by Pura Tech UCSF-Clinical Pharmacology Laboratory and Alta Analytical Laboratories, respectively.

Smokers Profile, Rating Scales

During the first screening session (Time 0, ie T0), participants completed the Smoker's Profile Form, Fagerström Test for Nicotine Dependence (Fagerstrom, 1978), Shiffman–Jarvik Withdrawal (Shiffman and Jarvik, 1976) Scale, Motivation for Smoking Scale (overall dependence score) (Russell *et al*, 1974), and BDI (Beck Depression Inventory) Rating Scale (Steer *et al*, 1999). Smokers were considered dependent if they smoked ≥ 10 cigarettes/day, had a Fagerström Test for nicotine dependence score ≥ 4 , a Motivation for Smoking Scale (overall dependence score) ≥ 6 , and a Shiffman–Jarvik Withdrawal Scale ≥ 3 per item. Participants also completed the State-Trait Anxiety Inventory (Spielberger, 1983), as well as the MNWS (Cappelleri *et al*, 2005; Hughes, 2007a; Hughes *et al*, 1991; Hughes and Hatsukami, 1986), QSU-brief (Cox *et al*, 2001), and SUTS (Strength of Urge to Smoke Scale) (Hughes, 2007a; Jarvik *et al*, 2000). The STAI, MNWS, QSU-brief, and the SUTS were subsequently administered at the five time points defined above, T1–T5, during each of the PET scanning sessions, to monitor craving and withdrawal symptoms during scanning.

Magnetic Resonance Imaging

Magnetic resonance imaging was performed within a week of the first PET session using a 1.5-T Magnetom Sonata scanner (Siemens AG, Erlangen, Germany). The specifications of the imaging sessions are: 3-dimensional Fourier-transform spoiled-gradient-recalled acquisition with a repetition time of 30 ms, an echo time of 7 ms, a 30° flip angle, two acquisitions, and a 256×192 view matrix. The volumes were reconstructed in 90 contiguous 1.5-mm-thick transaxial slices.

PET Analysis

PET data analysis, structural MRI co-registration, and motion correction were performed using PMOD, version 3.0 (PMOD Technologies, Zurich, Switzerland). Following the alignment of all blocks for both PET imaging scans with the structural MRI, regions of interest were drawn on the co-registered PET scans. Regions of interest were the right and left thalami, right and left middle frontal gyri,

brainstem, cerebellum, and corpus callosum. The right and left thalami were drawn on approximately 7 slices, right and left middle frontal gyri on 1 slice, brainstem on 21 slices, cerebellum on 8 slices, and corpus callosum on 1 slice.

We calculated decay-corrected time-activity curves for each block (60, 40, and 50 min) of PET imaging and divided PET activities by plasma-free-2-FA activities to obtain free-fraction-corrected volumes of distribution, V/f_p , using nomenclature derived from Innis *et al* (2007). We denote the binding volume of distribution for the placebo scan before smoking to satiety (Block 1) as V_T/f_p , and that for the varenicline scan before smoking to satiety as V_V/f_p . We denote the binding volume of distribution for the placebo scan after smoking to satiety (Block 3) as V_C/f_p and that for the varenicline scan after smoking to satiety as V_{VC}/f_p . Subjects were asked to smoke to satiety so that nicotine would displace nearly all of the specifically bound radioactive ligand. The block 3 scan gives a measurement of nonspecifically bound ligand, and can be subtracted from the block 1 and 2 scans to yield measurements of specific binding. We estimated the non-displaceable binding volume of distribution as $V_{ND}/f_p = V_{VC}/f_p$, as these were the smallest volumes of distributions across all brain regions and no significant reductions were observed after smoking to satiety during the varenicline sessions. Therefore, the distribution volume for specific binding was estimated as $V_S/f_p = V_T/f_p - V_{VC}/f_p$. We calculated fractional receptor occupancy by the single low dose of varenicline from the following formula: $B_V/B_{max} = (V_T/f_p - V_V/f_p)/(V_S/f_p) = (C_V/K_V)/(1 + C_V/K_V)$. The dissociation constant of varenicline (K_V) was determined using the mean concentration (C_V) of varenicline tartrate, molecular weight 361.35 g/mol, in blood plasma. We also calculated fractional receptor occupancy due to smoking to satiety during the placebo session using $B_C/B_{max} = (V_T/f_p - V_C/f_p)/(V_S/f_p)$, where B_C is the density of receptors occupied due to smoking.

Statistical Analysis

We used Hotelling T-Square (multivariate Student t test) to assess the effect of medication (varenicline *vs* placebo) on distribution volume (V_V/f_p *vs* V_T/f_p) for all brain regions, followed by one-tail *t*-tests for individual regions. We similarly assessed the effect of smoking in the placebo session (V_C/f_p *vs* V_T/f_p) and in the varenicline session (V_{VC}/f_p *vs* V_V/f_p). To maintain adequate power, we grouped the higher binding-potential (BP) regions (thalamus, brainstem, cerebellum) separately from the lower BP regions (middle frontal gyrus and corpus callosum). The critical value for significance was set at $\alpha = 0.05$. The multivariate analysis presented determines the significant main effects of medication and smoking and significant interaction without the requirement of the post-hoc multiple-comparisons corrections required for an ANOVA analysis.

We analyzed the withdrawal rating scales MNWS, QSU-B, and SUTS for the effect of 2 days of withdrawal from smoking by Hotelling T-Square followed by post-hoc one-tail *t*-tests. We analyzed the STAI scale for effects of withdrawal, medication, and smoking using *t*-tests. All analyses were performed using MATLAB and JMP 9.0.2 statistical software (SAS Institute, Cary, NC, USA).

RESULTS

Enrollment

A total of nine subjects were included in the behavioral analysis with six subjects retained for the brain imaging analysis. For PET imaging, data from two subjects were excluded due to noncompliance with the protocol and/or inconsistency in the PET scans. A third subject was excluded due to the presence of a radiotracer-avid abnormal intraventricular mass that appeared to have resulted in abnormally low activities in brain. Two of the three participants excluded also had plasma-nicotine levels higher than 1.0 ng/ml, demonstrating that participants were probably not abstinent for at least the last 24 h. The subject with the presence of the intraventricular mass had 1.1 ng/ml plasma-nicotine levels on both placebo and varenicline PET scans, and the second subject had a 1.2 ng/ml plasma-nicotine level on his placebo scan. However, all participants were included in the behavioral analysis, as their CO measures were under 4 ppm on the testing day, indicating low levels of recent smoking.

Demographics and Initial Rating Scale Data in Comparison with Scan Days

The participant group was 67% male, mean age 38.2 (± 3.4) years, 78% self-reported Caucasian and 22% self-reported non-Caucasian, and had a mean 14.8 (± 0.5) years of education. They were moderately dependent smokers (16.7 ± 1.7 cigarettes/day, FTND scores of 4.0 ± 0.8 , Motivation for Smoking Scale (overall dependence score) of 5.7 (± 0.5)). On the screening day (without smoking abstinence), participants had minimal levels of depression (mean BDI score of 1.6 (± 0.7)), and moderate levels of withdrawal, assessed using the Shiffman-Jarvik Withdrawal Scale with a score of 3.2 (± 0.2) per item.

To determine whether withdrawal or anxiety rating scales increased due to 2 days of abstinence, we compared screening-day values (T0) to PET-imaging-day values (T1). Hotelling T-square for MNWS, QSU-B, and SUTS was not significant for either the placebo or varenicline days. The one-tail *t*-test comparing STAI values yielded $p = 0.03$ for the placebo day and 0.22 for the varenicline day. Taking into account multiple comparisons, this result is non-significant.

Biochemical Measures

In all participants, CO measurements on the screening day confirmed the smoking habit with average exhaled levels of 11.8 (± 1.1) ppm. Smoking abstinence was further identified through CO measurements on PET imaging days, with average values of 1.6 (± 0.2) ppm at 0900 hours. Participants included in the PET-imaging data analysis had plasma nicotine levels that were below 1.0 ng/ml with low cotinine levels (56.81 ± 8.1 ng/ml) on the day of PET imaging, supporting the patient reports of smoking abstinence. Smoking to satiety (average of 1.8 ± 0.2 cigarettes) increased CO measures from before (1.6 ± 0.2) to after smoking (6.3 ± 0.8), $p < 0.0001$, *t*-test. The number of cigarettes smoked during the varenicline (1.7 ± 0.2) and placebo (1.9 ± 0.2) scans did not significantly differ. The

number of cigarettes smoked to satiety in this study was lower than in our earlier study (average of 2.8 cigarettes) (Brody *et al*, 2006), most likely due to different recruitment criteria in the current study for the number of cigarettes smoked per day: ≥ 10 vs > 20 cigarettes/day for the earlier study. The mean plasma varenicline level 3 h after oral administration was $1.7 (\pm 0.1)$ ng/ml, and significantly decreased by the end of the scanning day (1.1 ± 0.1 ng/ml, $p < 0.0001$, *t*-test).

Rating Scales Assessed During the PET Session

The Hotelling T-square test comparing MNWS, QSU-B, and SUTS for the effect of medication at corresponding time points of the placebo and varenicline sessions was nonsignificant, meaning that withdrawal or craving symptoms did not differ between placebo and varenicline administration sessions. The Hotelling T-square test comparing these scales at points T3 (before smoking) and T4 (after smoking) were significant for both the placebo scan ($p = 0.01$) and the varenicline scan ($p = 0.02$). Post-hoc one-tail *t*-tests yielded *P* values of 0.08, 0.0007, 0.001 (0.19, 0.003, 0.004, excluding the subjects with > 1.0 ng/ml of plasma nicotine levels) for the placebo scan and 0.011, 0.0003, 0.001 (0.02, 0.0014, 0.0019, excluding the subjects with > 1.0 ng/ml of plasma nicotine levels) for the varenicline scan for MNWS, QSU-B, and SUTS, respectively. The *t*-tests comparing STAI at corresponding time points for the effect of medication were nonsignificant as were the *t*-tests comparing points T3 and T4 for both placebo and varenicline scans. Taken together, the results demonstrate that smoking to satiety significantly reduced withdrawal symptoms in both placebo and varenicline treatment groups equally, whereas the measure of anxiety was not affected. Testing for a main effect of medication separately per question, our findings demonstrate that varenicline administration does not reduce any aspects of withdrawal for any questions asked at the time points assessed (T1–5). Our findings provide evidence that an acute exposure to low-dose varenicline does not reduce the psychological symptoms of withdrawal as assessed through the MNWS, QSU-B, and SUTS.

PET Findings

Time series data (across subjects) are shown for the thalamus (Figure 1), the brain region with the highest density of nAChRs and 2-FA radioactivity counts (Brody *et al*, 2006). Mean values (across subject) of V_T/f_P , V_S/f_P , B_V/B_{max} , and B_C/B_{max} for each brain region are given in Tables 1 and 2.

The Hotelling T-square demonstrated a significant effect of medication on BP, by comparing V_T/f_P and V_V/f_P values, in both the grouped high-BP and low-BP regions (Tables 1 and 2, Figures 1 and 2). Post-hoc one-tailed *t*-tests were significant for all brain regions. For the placebo session, we found a significant effect of smoking to satiety on BP by comparing V_T/f_P and V_C/f_P for both sets of regions. Post-hoc one-tailed *t*-tests were again significant for all brain regions. Comparing V_V/f_P with V_{VC}/f_P (varenicline session), we find a *P*-value of 0.05 for smoking-to-satiety for the high-BP regions, but no significance for the low-BP regions. We have Bonferroni-corrected significance only for the

thalamic regions. These data indicate that smoking to satiety following varenicline was minimally significant in reducing V_V/f_P values, and only observable in the thalamus (Figure 1).

The preceding analysis indicates that percent occupancies for varenicline (0.5 mg administered orally) are compatible with 100% occupancy. To further characterize this result, we set 90% lower confidence limits for each brain region, given in Tables 1 and 2. These vary from approximately 90% occupancy for thalamus and brainstem to about 75% occupancy for middle frontal gyri and corpus callosum.

From these values and measurements of plasma varenicline concentration, we set 90% upper limits on K_V , the dissociation constant for varenicline binding, for the high BP regions, with respect to plasma varenicline concentration. Based on *in-vivo* measurements of free drug exposures in the brain, the extracellular brain concentration is expected to be nearly identical to the plasma concentration (Rollema *et al*, 2010). Thus, our upper limit is relevant to the true value of K_V . Using the mean 90% lower limit for B_V/B_{max} of 89% and the mean plasma varenicline concentration for these subjects of 1.4 ng/ml, we have $K_V \leq 0.18$ ng/ml (90%) or equivalently $K_V < 0.49$ nM (90%). This value is compatible with published *in-vitro* values for human cortex (0.15 nM) and human $\alpha 4\beta 2^*$ nAChRs in HEK cells (0.11 nM) (Rollema *et al*, 2007). The percentage occupancies by brain region for smoking to satiety during the placebo scan are also given in Tables 1 and 2. These values are approximately 90%, corresponding to smoking a mean of 1.8 cigarettes, and are compatible with our previously published analysis (Brody *et al*, 2006). Fractional occupancies > 1.0 reflect measurement uncertainty.

As nearly complete occupancy of $\alpha 4\beta 2^*$ nAChRs by varenicline does not alleviate withdrawal symptoms, but smoking to satiety in the presence of full occupancy does, the data suggest that smoking reduces withdrawal symptoms by mechanisms at least partly independent of $\alpha 4\beta 2^*$ nAChRs.

DISCUSSION

Our findings demonstrate that a single low dose of varenicline saturates ($> 90\%$ occupancy) $\alpha 4\beta 2^*$ nAChRs in the human brain. However, this dose of varenicline did not significantly reduce withdrawal symptoms. Compatible with our earlier study (Brody *et al*, 2006), smoking to satiety results in $> 90\%$ occupancy of available nAChRs and significantly reduces withdrawal symptoms in a placebo PET session. Furthermore, these data suggest that smoking to satiety reduces withdrawal symptoms through mechanisms both including and independent of $\alpha 4\beta 2^*$ nAChR occupancy. It is well known that the success of varenicline in smoking cessation requires long-term treatment. We speculate that this effect may be mediated by the putative increase in nicotinic receptor density levels or some other receptor-related mechanism.

The Role of Varenicline in the Mechanisms Reducing Tobacco Withdrawal

Given previous preclinical findings that $\alpha 4\beta 2^*$ nAChRs do not influence somatic withdrawal, our current analysis

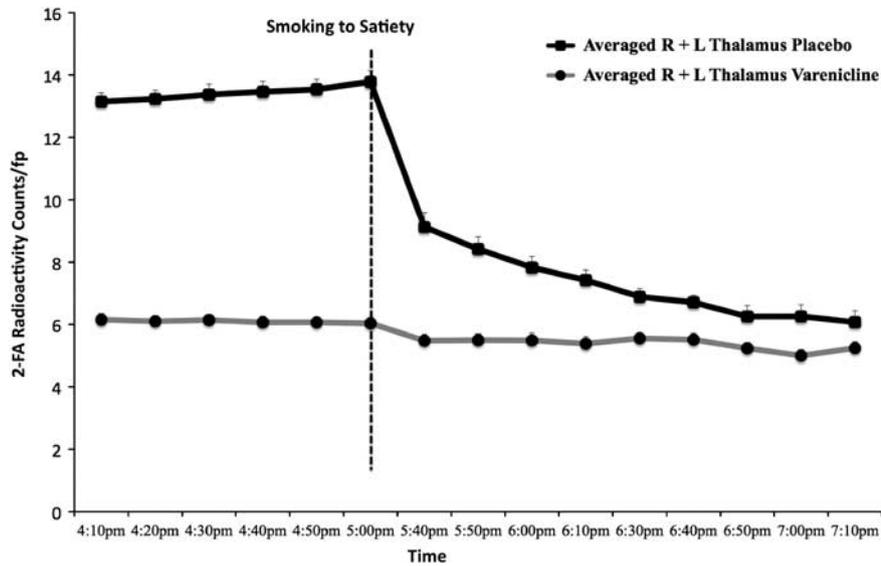


Figure 1 Time-activity curves for positron emission tomography (PET) data for averaged values of the right and left thalamus. Participants underwent two PET scanning sessions, and were administered either placebo or 0.5 mg of varenicline (double-blind, randomized order) at 0900 hours of the two PET sessions. At 1200 hours, the $\alpha 4\beta 2^*$ radioligand, 2-[^{18}F]fluoro-A-85380 (2-FA) was given as a bolus (3.8 mCi) plus infusion injection of 2-FA (0.27 mCi/h), reaching steady-state levels over 4 h. At 1600 hours, participants underwent 60 min of PET imaging. Following this imaging, participants smoked to satiety, followed by two additional PET imaging sessions (40 and 50 min).

Table I Average Volume of Distribution and Receptor Occupancies

	2-FA total distribution volume	2-FA distribution volume for specific binding	Fractional receptor occupancy by the single low dose of varenicline	Fractional receptor occupancy by the single low dose of varenicline	Fractional receptor occupancy due to smoking to satiety during the placebo session
	V_T/f_p	V_S/f_p	B_V/B_{max}	B_V/B_{max} 90% lower limit	B_C/B_{max}
Left thalamus	13.4 (0.4)	8.0 (0.5)	0.92 (0.02)	0.89	0.87 (0.05)
Right thalamus	13.5 (0.5)	8.3 (0.5)	0.88 (0.01)	0.86	0.85 (0.05)
Brain stem	9.9 (0.3)	5.4 (0.4)	0.96 (0.02)	0.93	0.90 (0.05)
Cerebellum	8.6 (0.4)	4.3 (0.4)	0.89 (0.04)	0.83	0.88 (0.06)
Left middle frontal gyrus	7.2 (0.3)	2.3 (0.1)	0.85 (0.07)	0.76	0.96 (0.13)
Right middle frontal gyrus	7.4 (0.4)	2.6 (0.3)	0.82 (0.06)	0.73	0.88 (0.11)
Corpus callosum	6.1 (0.4)	1.8 (0.3)	1.20 (0.34)	0.72	1.01 (0.21)

V_T/f_p : 2-FA total distribution volume, V_S/f_p : 2-FA distribution volume for specific binding, B_V/B_{max} : fractional receptor occupancy by the single low dose of varenicline, B_C/B_{max} : fractional receptor occupancy due to smoking to satiety during the placebo session. SEM in parentheses. B_V/B_{max} and B_C/B_{max} are presented as percentages.

focused primarily on psychological components of withdrawal, as assessed through the QSU-Brief, S-UTS, and Minnesota Nicotine Withdrawal Rating Scales. Low-dose varenicline saturated the majority of nAChRs without influencing psychological withdrawal symptoms. Other studies have demonstrated a significant reduction of withdrawal symptoms after multiple weeks of varenicline administration (with peak reduction of withdrawal symptoms observed after averaging 6 weeks of scores), using similar withdrawal ratings scales as in our current study (Tsai *et al*, 2007). In this study, given the saturation of $\alpha 4\beta 2^*$ nAChRs by low-dose varenicline, its inability to

reduce withdrawal symptoms after a single administration, confirms the importance of long-term administration to achieve withdrawal alleviation. Chronic effects of varenicline have recently been reported in the brain imaging literature, with findings demonstrating that as little as 3 weeks of treatment can reduce cue-associated brain reactivity in limbic reward regions in healthy non-abstinent smokers (Franklin *et al*, 2011). Thus, the findings suggest that visual ‘cues’ lead to brain activity (blood-oxygen-level dependence (BOLD) response) through an $\alpha 4\beta 2^*$ nAChR mechanism, which can be blocked by varenicline. Whether other sensory cues, such as smell, taste, and feel of a

Table 2 Multivariate and Univariate Test Results for PET, Withdrawal, and Behavioral Measures

Multivariate tests for PET measures (Hotelling T-square)				
Regions		V_{T/f_P} vs V_{C/f_P}	V_{T/f_P} vs V_{V/f_P}	V_{T/f_P} vs $V_{V/C/f_P}$
High binding	F(4, 2), <i>p</i>	51, 0.02	992, 0.001	41, 0.024
Low binding	F(3, 3), <i>p</i>	47, 0.05	15, 0.03	3.7, 0.15

Univariate tests for PET measures (t-test one tail)				
Region		V_{T/f_P} vs V_{C/f_P}	V_{T/f_P} vs V_{V/f_P}	V_{T/f_P} vs $V_{V/C/f_P}$
Left thalamus	t(5), <i>p</i>	20.7, 0.000016	12.6, 0.000025	11.9, 0.0016
Right thalamus		22.5, 0.000002	12.9, 0.000027	5.3, 0.000037
Brain stem		16.8, 0.000007	13.9, 0.000017	2.1, 0.043
Cerebellum		14.8, 0.00001	8.9, 0.00015	2.5, 0.026
Left middle frontal gyrus		7.5, 0.0003	6.7, 0.0006	1.7, 0.07
Right middle frontal gyrus		12.7, 0.00003	5.7, 0.001	2.9, 0.016
Corpus callosum		5.1, 0.002	4.8, 0.002	-0.05, ns

Multivariate test for withdrawal measures (MNWS, QSU-brief, SUTS) (Hotelling T-square)				
	Placebo	Varenicline	Placebo	Varenicline
	T0—T1	T0—T1	T3—T4	T3—T4
F(3, 6), <i>p</i>	1.4, 33	2.3, 0.65	8.5, 0.014	7.2, 0.020

Univariate tests for behavioral measures (t-test one tail)					
		Placebo	Varenicline	Placebo	Varenicline
		T1—T0	T1—T0	T3—T4	T3—T4
MNWS	t(8), <i>p</i>	0.37, 0.36	0.71, 0.25	1.6, 0.075	2.8, 0.011
QSU-brief		1.7, 0.064	-0.3, ns	15.0, 0.0007	5.3, 0.0003
SUTS		-0.52, ns	-0.45, ns	4.3, 0.001	4.2, 0.001
STAI		2.2, 0.03	0.8, 0.22	1.6, 0.07	0.48, 0.32

cigarette, could work through similar mechanisms needs further exploration. Such effects may be driven by chronic varenicline-mediated modifications of the $\alpha 4\beta 2^*$ nAChR densities in the brain (Franklin *et al*, 2011). Indeed, preclinical evidence demonstrates that chronic varenicline treatment can increase the density of $\alpha 4\beta 2^*$ nAChRs after a 2-week administration (with behavioral correlates), similar to the effects of chronic nicotine exposure over the same time period (Turner *et al*, 2011). Thus, our findings provide rationale to assess whether chronic administration of varenicline can reduce psychological withdrawal symptoms through the modulation of the density of $\alpha 4\beta 2^*$ nAChR. As we have shown that full-occupancy $\alpha 4\beta 2^*$ binding of varenicline does not reduce withdrawal symptoms, the remaining question is what does modulate withdrawal symptoms. It is possible that a downstream effect that is directly due to long-term binding of the $\alpha 4\beta 2^*$ receptors may be important, or perhaps something more remote. It should be noted, however, that acute exposure to varenicline (0.1 mg/kg) can reduce withdrawal severity in animal

models, particularly when measuring memory deficits (Raybuck *et al*, 2008), although the dose is higher than that used in our current study, not taking into account pharmacokinetic differences between rodent and human species. Therefore, the assessment of memory deficits and other measures, including negative affect, mood, and general cognition, would be beneficial to include in future studies to evaluate whether all aspects of withdrawal severity are unaffected by a single oral dose of varenicline. Indeed, chronic administration of varenicline can improve mood and cognition during abstinence (Patterson *et al*, 2009) as well as working memory (Loughead *et al*, 2010), and reduce negative affect (West *et al*, 2008) in smokers during abstinence. Such studies would help clarify the critical components of the withdrawal syndrome that varenicline targets to mediate its therapeutic effects.

Our findings have implications relating to the length of the pharmacological treatment regimen necessary to influence withdrawal severity. Repeated exposure to varenicline at the low or a higher dose will ultimately

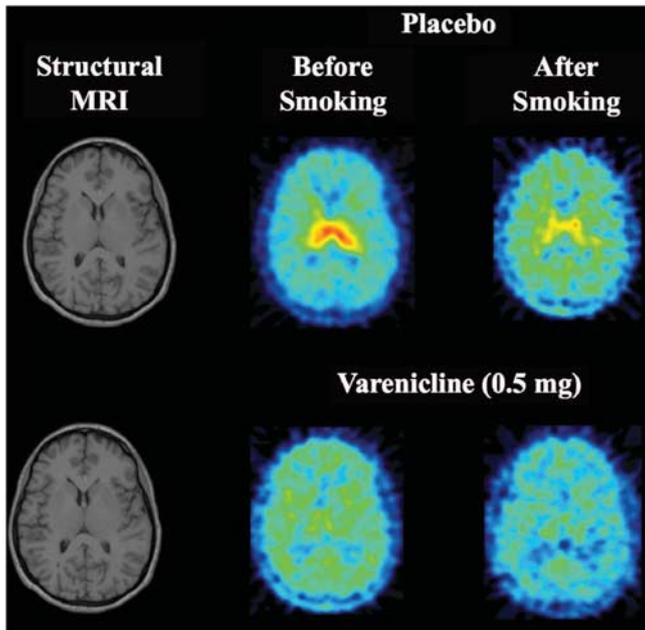


Figure 2 Representative positron emission tomography (PET) images of a smoker after placebo or varenicline administration before and after smoking. Images were obtained during the first hour of scanning before smoking to satiety and 10 min after smoking to satiety. The images illustrate the significant reduction of 2-FA availability particularly in the thalamus, a region known to have the highest density of nicotinic receptors in the human brain. A magnetic resonance imaging (MRI) scan used for co-registration is included.

reduce withdrawal symptoms (Cahill *et al*, 2011), most likely through the actions on $\alpha 4\beta 2^*$ nAChRs. By further assessing varenicline's actions at its drug target, results may aid future studies in designing individually tailored treatment regimens necessary to reduce withdrawal severity and induce smoking cessation. Also, studies may promote the use of more specific therapeutic targets for mediating smoking cessation. Such studies are important, as even subtle changes in the nAChR assembly (eg, through the addition of the $\alpha 5$ subunit to the $\alpha 4\beta 2$ receptor complex) can influence the pharmacological properties of these receptors (Ramirez-Latorre *et al*, 1996). Designing improved treatment regimens and drugs targeting specific nAChR complexes may significantly assist in smoking-cessation-treatment efficacy in the future.

Varenicline Pharmacokinetic and Pharmacodynamic Mechanisms Influencing Tobacco Withdrawal

The study of higher dose and prolonged regimens of varenicline treatment is required for understanding of the pharmacokinetic and pharmacodynamic mechanisms that influence tobacco withdrawal symptoms and smoking cessation. Our data show that single low-dose (0.5 mg) administration produces a 3–5 nM (1.1–1.7 ng/ml) concentration of varenicline in human blood plasma, which lead to complete saturation of $\alpha 4\beta 2^*$ nAChRs in the human brain. This concentration of varenicline is lower than the reported therapeutic window (32–131 nM) in mediating smoking

cessation using standard dosing regimens (Rollema *et al*, 2010). Indeed, it is interesting to note that the 32–131 nM concentrations of varenicline desensitize human $\alpha 4\beta 2^*$ nAChRs to repeated administrations of acetylcholine in oocyte electrophysiological studies ($IC_{50} = 0.07$ nM) (Rollema *et al*, 2010). A single low dose of varenicline leads to a plasma level below the window that induces the desensitization response and the latter may be required to mediate a behavioral effect. Why desensitization and behavioral effects require higher levels than those required for complete saturation of $\alpha 4\beta 2^*$ nAChRs after low-dose administration of varenicline is not known and needs further investigation. Taken together with our previous findings that humans continue to smoke in the presence of complete saturation of $\alpha 4\beta 2^*$ nAChRs after one cigarette (Brody *et al*, 2006), the data suggest that non- $\alpha 4\beta 2^*$ nAChR subtypes (or other mechanisms) may have a role in nicotine addiction, in the reduction of varenicline-induced tobacco withdrawal severity, as well as in the induction of smoking cessation. Future studies examining standard treatment regimens, larger study populations, and radioligands for non- $\alpha 4\beta 2^*$ nAChRs are needed to further identify the mechanisms mediating varenicline-induced smoking cessation.

The Role of Non- $\alpha 4\beta 2^*$ Nicotinic Receptor in Mediating Tobacco Withdrawal

Our data reveal that, although varenicline saturates $\alpha 4\beta 2^*$ nAChRs, cigarette smoking induced a reduction of withdrawal severity in varenicline-treated subjects in the absence of further displacement of 2-FA for almost all brain regions. We speculate that cigarette smoking may reduce withdrawal severity in varenicline-treated subjects through mechanisms independent of $\alpha 4\beta 2^*$ nAChRs. Indeed, a number of neuronal nAChR subunits have been discovered, including alpha ($\alpha 2-7$, 9, 10) and beta ($\beta 2-4$) subunits, and a large body of evidence exists for the role of non- $\alpha 4\beta 2^*$ nicotinic receptor subunits in mediating withdrawal (Changeux, 2010). Systemic nAChR antagonists can precipitate withdrawal in mice chronically treated with nicotine, with genetic animal models demonstrating an absence (or significant reductions) of somatic (physical) signs of withdrawal in $\alpha 2$, $\alpha 5$, or $\beta 4$ null mutant mice (Changeux, 2010). In contrast, somatic, but not affective (psychological), signs were present in homozygous $\beta 2$ null mutant mice (Jackson *et al*, 2008) (similar to the role of $\alpha 6$ -containing nicotinic receptors as evaluated through pharmacological studies (Jackson *et al*, 2009)). The findings suggest that certain nAChR subunits, but not others, differentially regulate somatic and affective nicotine-withdrawal symptoms. Thus, both clinical and preclinical evidence suggest that non- $\alpha 4\beta 2^*$ nAChRs are important contributors to tobacco and general drug addiction. Such mechanisms may work separately or in parallel with the 4000 + constituents in tobacco smoke (eg, norharmane, harmane, or other monoamine oxidase inhibitors (Lotfipour *et al*, 2011a)) to influence tobacco-withdrawal symptoms in the human smoker. Whether smoking to satiety decreases withdrawal severity through non- $\alpha 4\beta 2^*$ nAChRs needs further assessment.

Significance of our Studies Based on the Functional Selectivity of 2-FA at High-Affinity $\alpha 4\beta 2^*$ nAChRs

Researchers have identified a differential-state model for nAChRs (ie, resting (R), active (A), intermediate (I), desensitized (D), as discussed by Quick and Lester (2002). The high-affinity $\alpha 4\beta 2^*$ nAChRs have been modeled, eg, in oocyte-expression systems, by modifying the ratios of the number of $\alpha 4$ to $\beta 2$ subunits within the system (Moroni *et al*, 2006) or genetically (Harpsoe *et al*, 2011). Evidence suggests that A-85380 is more functional at the high-affinity nAChRs that have $(\alpha 4)(2)(\beta 2)(3)$ vs the low-affinity site having $(\alpha 4)(3)(\beta 2)(2)$ stoichiometry (Moroni *et al*, 2006). These receptor subtypes can have differing functionality, depending, eg, on the length of nicotine exposure (Sokolova *et al*, 2005) and the presence or absence of excess $\alpha 4$ subunits (Harpsoe *et al*, 2011) or accessory subunits (such as $\alpha 5$) (Ramirez-Latorre *et al*, 1996). Unlabeled A-85830 and nicotine, but less so varenicline, appear to have higher functional efficacy at $(\alpha 4)(2)(\beta 2)(3)$ vs $(\alpha 4)(3)(\beta 2)(2)$ stoichiometry nAChRs (Anderson *et al*, 2009; Moroni *et al*, 2006). How the activation and desensitization properties of these nAChRs are modified in the human smoker is not known; although it has been hypothesized that both receptor properties could influence nicotine addiction and mood (Picciotto *et al*, 2008). Although some authors have provided evidence to suggest that upregulated high-affinity nAChRs may be nonfunctional (Quick and Lester, 2002), other evidence suggests that upregulated high-affinity nAChRs retain functionality in the presence of chronic nicotine (Sokolova *et al*, 2005), and under certain circumstances are less sensitive to desensitization (Buisson and Bertrand, 2001). The possible reasons for the discrepancy between the findings have been discussed previously (Quick and Lester, 2002). In the living human brain, whether high-affinity upregulated nAChRs that bind to varenicline, nicotine, and 2-FA remain functional needs further evaluation.

Limitations

Our findings demonstrate that 2 days of withdrawal from cigarettes did not significantly influence withdrawal symptoms. The lack of significance may be due to the small sample size. However the research setting, where participants were paid for staying abstinent, and were informed at the onset of the study that they could smoke 1 h after the PET imaging session, may have had a role. Such factors may interact concomitantly to reduce the overall intensity of psychological withdrawal severity and need to be taken into consideration in future studies (Hughes, 2007b). We are limited in that our tracer is selective for $\alpha 4\beta 2^*$ nAChRs, so that we are unable to associate other nicotinic receptors with anxiety and withdrawal rating scales. Furthermore, the uncertainty in our measurement of BP is determined by the region size and density of $\alpha 4\beta 2^*$ nAChRs. The thalamic BP is by far the best determined. We are sensitive to incremental binding of $\alpha 4\beta 2^*$ nAChRs by nicotine in thalamus but not to BP changes in other regions where the measurement uncertainty in BP is greater. Such factors need to be taken into consideration when interpreting findings from our current study.

CONCLUSIONS

Our findings demonstrate that a low dose of varenicline saturates $\alpha 4\beta 2^*$ nAChRs in the human brain. A single low dose of varenicline did not produce a reduction of withdrawal severity, as was observed when participants smoked to satiety. Furthermore, cigarette smoking reduced withdrawal severity in the presence of complete saturation of $\alpha 4\beta 2^*$ by varenicline. The findings highlight the possibility that cigarette smoking reduces withdrawal symptoms through mechanisms independent of (or in addition to) $\alpha 4\beta 2^*$ nAChR occupancy. Future longitudinal studies with larger study populations are needed to assess the impact of low- and standard-dose varenicline on nAChR receptor densities and occupancy in relation to cigarette smoking reward and withdrawal. Results from such studies would assist in better understanding the mechanism mediating varenicline-induced smoking cessation, and may help develop improved medications for the induction of smoking abstinence in the future.

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DISCLOSURE

The authors declare that, except for income received from our primary employer, no financial support or compensation has been received from any individual or corporate entity over the past 3 years for research or professional service, and there are no personal financial holdings that could be perceived as constituting a potential conflict of interest.

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